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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Karl Mautz et al.

) Examiner: Unknown

)

) Art Unit: Unknown

Application No.: 09/729,636

) Confirmation No. 3043

) Docket No.: SC0192WD

Filed: December 4, 2000

) Date: March 5, 2001

Title: ASSEMBLY COMPRISING A

)

PLUARLITY OF MASK CONTAINERS,

)

MANUFACTURING SYSTEM FOR

MANUFACTURING SEMICONDUCTOR

DEVICES, AND METHOD

Assistant Commissioner for Patents

Washington, DC 20231

REPLY TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL  
APPLICATION

Dear Assistant Commissioner:

In response to a notice mailed February 16, 2001, a copy of which is enclosed, Applicants respectfully request withdrawal by the Customer Service Center of an erroneous status of missing parts applied to the pending application. In particular, the statutory basic filing fee was alleged to be missing from the pending application. However, in the originally filed application, a three-page transmittal sheet was submitted. A copy is enclosed. On page 2 of that sheet, the undersigned

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelop addressed to: Assistant Commissioner for Patents, Washington D.C. 20231 on:

3-5-01  
Date

Signature

Printed or Typed Name

Charli Ariens  
CHARLI ARIENS

attorney authorized on the filing date of the Application the charging of Deposit Account No. 13-4773 in the amount of \$870.00, and that amount is the correct filing fee owed.

Applicants' returned postcard (copy also enclosed) indicates that a copy of only page 3 of the transmittal letter was submitted rather than a copy of pages 2 and 3. However, there is no requirement to submit a complete patent application that a copy of the fee authorization be submitted. Therefore, the Application should have been accepted and complete without a declaration of missing parts existing. As such, Applicants should not owe the \$130.00 fee for a non-small entity in responding to this notice.

#### FEES

No fees should be owed in connection with this response, but Applicants continue to authorize the payment of \$870.00 in filing fees and any other fees owed in connection with this application, or credit Deposit Account No. 13-4773 for any refunds. Should the PTO disagree with Applicants' position regarding payment of the \$130.00 missing parts fee, Applicants authorize payment of that fee with the stated intent of further requesting a refund of such \$130.00 if so charged.

Respectfully submitted,



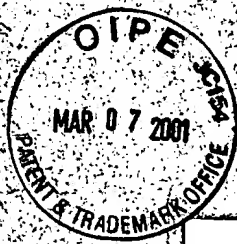
Robert L. King

Attorney for Applicants

Registration No. 30,185

Telephone No. (512) 996-6839

Facsimile No. (512) 996-6853

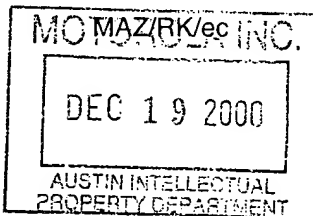


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NEW PATENT APPLICATION

DOCKET NO.: SC0192WD  
INVENTORS: Karl E. Mautz et al.  
TITLE: ASSEMBLY COMPRISING A PLURALITY OF MASK  
CONTAINERS, MANUFACTURING SYSTEM FOR  
MANUFACTURING SEMICONDUCTOR DEVICES, AND  
METHOD  
MAILED: December 4, 2000  
ENCLOSURES: Appl. Trans. Ltr. (3 pgs. & copy pg. 3),  
Application (31 pgs.), Declaration (5 pgs.), Rec.  
Form Cover Sheet (2 pg.), Assignment (5 pgs.),  
Formal Pgs. (2 pgs.).

EXPRESS MAIL LABEL NO.: EL581727378US



jc912 U.S. PTO

09/729636



12/04/00

Serial No. 09/729636  
Filed 04 DE 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
UTILITY PATENT APPLICATION TRANSMITTAL LETTER

43



Attorney Docket No.: SC0192WD

Mailing Date:  
Express Mail Label No.:

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To: Assistant Commissioner for Patents  
Box Patent Application  
Washington D.C., 20231

Dear Sir:

Transmitted herewith for filing under 37 C.F.R. 1.53(b) is a Nonprovisional Utility Patent Application:

- ☒ New Application; or
- ☐ Continuation; or ☐ Divisional; or ☐ Continuation-In-Part (CIP);  
of prior US Application No. \_\_\_\_\_, filed on \_\_\_\_\_, having U.S.  
Examiner \_\_\_\_\_, in Group Art Unit \_\_\_\_\_

Of: Karl Emerson MAUTZ, Alain Bernard CHARLES, John George MALTABES, and  
Ralf SCHUSTER

For: ASSEMBLY COMPRISING A PLURALITY OF MASK CONTAINERS,  
MANUFACTURING SYSTEM FOR MANUFACTURING SEMICONDUCTOR DEVICES,  
AND METHOD

- ☒ 2 sheets of drawings and 31 pages of specification and claims.
- ☒ Newly executed oath or declaration combined with Power of Attorney on 5 pages.
- ☐ Copy of oath or declaration from prior U.S. application serial no. \_\_\_\_\_  
☐ The following named inventor(s) from the prior application are hereby deleted from this  
application in accordance with 37 C.F.R. 1.63(d)(2) and 1.33(b):  
\_\_\_\_\_
- ☐ A certified copy of a \_\_\_\_\_ (non-US) application serial number \_\_\_\_\_, having a  
filing date of \_\_\_\_\_, and foreign priority to this non-US application for the present  
application is hereby claimed under 35 USC 119.
- ☒ An Assignment Transmittal Letter and Assignment of the invention to Motorola, Inc.,  
Semiconductor 300 GmbH & Co. KG, and Infineon Technologies AG.
- ☐ Form PTO-1449, and \_\_\_\_\_ citation copies.
- ☒ Return Receipt Postcard.
- ☐ Preliminary Amendment.

EXPRESS MAIL LABEL NO.: EL581727378US

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- ☐ Please cancel pending claims \_\_\_\_\_.
- ☐ Incorporation by Reference (for Continuation/Division/CIP application). The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. Since the present application is based on a prior US application, please amend the specification by adding the following sentence before the first sentence of the specification:

"The present application is based on prior US application No. \_\_\_\_\_, filed on \_\_\_\_\_, which is hereby incorporated by reference, and priority thereto for common subject matter is hereby claimed."

- ☐ Applicant hereby petitions pursuant to 37 C.F.R. § 1.136(a) for a \_\_\_ month extension of time for response to the outstanding Official Action mailed \_\_\_\_\_. The period for response was previously set to elapse \_\_\_\_\_, and is accordingly hereby extended to \_\_\_\_\_, which is still within the six-month statutory period for response (35 U.S.C. § 133) which elapses \_\_\_\_\_. The reason for this petition is that a Division, Continuation, or CIP is being filed, and it is desired to maintain the present application in pending condition pursuant to 35 USC § 120 through at least the filing of the Division, Continuation, or CIP application. The required Extension Fee established by 37 C.F.R. § 1.17(a) pursuant to 35 U.S.C. § 41(a) (8) is:

EXTENSION	FEE
<input type="checkbox"/> First Month	\$0.00
<input type="checkbox"/> Second Month	\$390.00
<input type="checkbox"/> Third Month	\$890.00
<input type="checkbox"/> Fourth Month	\$1,390.00
<input type="checkbox"/> Fifth Month	\$1,890.00

- ☒ The filing fee is calculated as follows:

## CLAIMS AS FILED, LESS ANY CANCELED BY AMENDMENT

FOR	NUMBER OF CLAIMS	NUMBER EXTRA	RATE	FEE
TOTAL CLAIMS	19 - 20 =	0		= \$ 0.00
INDEPENDENT CLAIMS	5 - 3 =	2	x \$80	= \$ 160.00
MULTIPLE DEPENDENT CLAIMS			\$270	= \$ 0.00
BASIC FEE				= \$ 710.00
TOTAL FILING FEE				= \$ 870.00

- ☒ Please charge Deposit Account No. 13-4773 in the amount of \$ 870.00 for the Total Filing Fee, and the Extension Fee under 37 C.F.R. § 1.136(a), if applicable.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required now or in the future during the entire pendency of this application under 37 C.F.R. 1.16 or 37

C.F.R. 1.17, including any present or future time extension fees which may be required, or credit any overpayment to Deposit Account No. 13-4773.

☒ This sheet is submitted in duplicate.

This transmittal letter has 3 total pages.

December 4, 2000  
DATE

Motorola, Inc.  
Austin Intellectual Property Law Section  
7700 West Parmer Lane  
Austin, Texas 78729

Robert L. King 30,185  
Robert L. King REG. NO.

Attorney of Record  
Telephone No.: (512) 996-6839  
Facsimile No.: (512) 996-6854

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attorney authorized on the filing date of the Application the charging of Deposit Account No. 13-4773 in the amount of \$870.00, and that amount is the correct filing fee owed.

Applicants' returned postcard (copy also enclosed) indicates that a copy of only page 3 of the transmittal letter was submitted rather than a copy of pages 2 and 3. However, there is no requirement to submit a complete patent application that a copy of the fee authorization be submitted. Therefore, the Application should have been accepted and complete without a declaration of missing parts existing. As such, Applicants should not owe the \$130.00 fee for a non-small entity in responding to this notice.

#### FEES

No fees should be owed in connection with this response, but Applicants continue to authorize the payment of \$870.00 in filing fees and any other fees owed in connection with this application, or credit Deposit Account No. 13-4773 for any refunds. Should the PTO disagree with Applicants' position regarding payment of the \$130.00 missing parts fee, Applicants authorize payment of that fee with the stated intent of further requesting a refund of such \$130.00 if so charged.

Respectfully submitted,



Robert L. King

Attorney for Applicants

Registration No. 30,185

Telephone No. (512) 996-6839

Facsimile No. (512) 996-6853

#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/729,636	12/04/2000	Karl Emerson Mautz	SC0192WD

CONFIRMATION NO. 3043

## FORMALITIES LETTER



\*OC000000005694966\*

Jim Clingan  
Motorola, Inc.  
Austin Intellectual Property Law Section  
7700 West Parmer Lane  
Austin, TX 78729



Date Mailed: 02/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$160.
  - \$160 for 2 independent claims over 3 .
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1000.

A copy of this notice MUST be returned with the reply.

*Finash Datta*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/21/2001	NLUANG	00000004	134773	09/729636
01	FC:102	160.00	CH	
03/12/2001	AG01TOM	00000172	134773	09/729636
01	FC:101	710.00	CH	
02	FC:105	130.00	CH	